#### CITY OF CHICAGO

#### APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

### 1. ADDRESS of the property Applicant is seeking to rezone:

301-313 West North Avenue/1544-1566 North North Park Avenue

2.	Ward Number that property	y is located in: <u>27</u>		
3.	APPLICANT 301 W. Nor	th Avenue, L.P.		
	ADDRESS 1525 W. Homer,	Ste. 401	CITY_	Chicago
	STATE_ILZIP (	CODE 60642	PHON	E 773.278.4237 ext. 25
	EMAIL <u>dshaw@sedgwickprop</u>	erties. com CONTACT P	ERSON <u>Dennis St</u>	1aw
4.	Is the applicant the owner of the property? YESX NO If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed.			
	OWNER			
	ADDRESS			
	STATEZIP (	CODE	PHONI	Ξ
	EMAIL	CONTACT P	ERSON	
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:			
	ATTORNEY_Bernard I. Citron/Thompson Coburn LLP			
	ADDRESS 55 E. Monroe, 37th Floor			
	CITY_Chicago	STATE _IL	ZIP CODE	0603
	PHONE <u>312-580-2209</u>	FAX	EMAI	L <u>bcitron@thompsoncoburn.com</u>

301 W. North, LLC
· .
On what date did the owner acquire legal title to the subject property?
Has the present owner previously rezoned this property? If yes, when?
No
Present Zoning District B3-5 Proposed Zoning District Residential Business
Sub Area A: 13,948 sf
Lot size in square feet (or dimensions) Sub Area B: 5,666 sf Total: 19,614 sf
Current Use of the property 69 unit mixed-use building
Reason for rezoning the property <u>Applicant seeks to rezone the property to establish a Residential Planned</u> Development with 78 residential units, 4,300 square feet of ground floor commercial space, and 31 parking spaces is 90.0 feet. 6.0 inches tall.
Describe the proposed use of the property after the rezoning. Indicate the number of dwelling
units; number of parking spaces; approximate square footage of any commercial space; and
height of the proposed building. (BE SPECIFIC)
Applicant seeks to rezone the property to establish a Residential Planned Development with 78 residential units, 4,300 square feet of ground floor commercial space, and 36 parking spaces, which is 90.0 feet, 6.0 inches tall.

14. The Affordable Requrements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?

YES\_\_\_\_\_ NO\_\_X\_\_\_\_

#### COUNTY OF COOK STATE OF ILLINOIS

\_\_\_\_\_, being first duly sworn on oath, states that all of the above statements and the statements contained in the documents submitted herewith are true and correct.

Signature of Applicant F. MARTIN PARIS, President of MK MANAGER CORP., Manager of 301 W. North, LLC, General Partner of Applicant Subscribed and Sworn to before me this 9th day of September, 20 19. "OFFICIAL SEAL" G. DANIEL DOLAK Notary Public, State of Illinois My Commission Expires 07-22-2021 Mar Notary Public For Office Use Only Date of Introduction:\_\_\_\_\_ File Number:\_\_\_\_\_ Ward:\_\_\_\_\_

#### **ORDINANCE**

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all of the RM-5 Residential Multi-Unit District symbols and indications as shown on Map Number 3-F in the area bounded by:

A line 91.58 feet south of and parallel to West North Avenue; North North Park Avenue; a line 141.58 feet south of and parallel to West North Avenue; a line 112.85 feet west of and parallel to North North Park Avenue

To those of a B3-5 Community Shopping District

SECTION 2. Changing all of the B3-5 Community Shopping District symbols and indications as shown on Map Number 3-F in the area bounded by:

West North Avenue; North North Park Avenue; a line 141.58 feet south of and parallel to West North Avenue; a line 137.85 feet west of and parallel to North North Park Avenue

To those of a Residential Business Planned Development which is hereby established in the area above described subject to such use and bulk regulations as set forth in the Plan of Development herewith attached and made a part thereof and to no others.

SECTION 3. This ordinance takes effect after its passage and due publication.

Common Address of the Property: 301-313 West North Avenue/1544-1566 North North Park Avenue

#### RESIDENTIAL PLANNED DEVELOPMENT NO. \_\_\_\_\_ BULK REGULATIONS TABLE

Gross Site Area: 32,865 sq. ft. (0.75 acres)

Net Site Area: 19,614 sq. ft. (.45 acres)

Net Site Area of each Sub Area:

Sub Area A:	13,948 square feet
Sub Area B:	5,666 square feet

Maximum FAR: 5.0

Sub Area A:5.9Sub Area B:4.5

Maximum Number of Dwelling Units:

Sub Area A: 69 Sub Area B: 9

Maximum Building Height:

Sub Area A: 90'- 6" Sub Area B: 47'- 0"

Commercial Space: Sub-Area A

Sub Area A: 4,300 square feet

Minimum Parking Spaces:

Sub Area A: 31 Sub Area B: 0

Applicant:301 W. North Avenue, L.P.Address:301-313 West North Avenue/1544-1566 North North Park AvenueIntroduced:September 18, 2019Plan Commission:TBD

Minimum Bicycle Parking

Sub Area A: 16

Minimum Loading Berths:

Sub Area A: 1, 25' by 25'

Applicant:301 W. North Avenue, L.P.Address:301-313 West North Avenue/1544-1566 North North Park AvenueIntroduced:September 18, 2019Plan Commission:TBD



55 East Monroe Street 37th Floor Chicago, IL 60603 312 346 7500 main 312 580 2201 fax thompsoncoburn.com

Bernard I. Citron 312 580 2209 direct bcitron@thompsoncoburn.com

September 10, 2019

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about September 10, 2019 the undersigned will file an application for a change in zoning from the RM-5, Residential Multi-Unit District and B3-5 Community Shopping District to a Residential Planned Development for the property located at 301-313 West North Avenue/1544-1566 North North Park Avenue.

The Applicant seeks to rezone the property to establish a Residential Planned Development with 78 residential units, 4,300 square feet of ground floor commercial space and 31 parking spaces, which is 90.0 feet, 6.0 inches tall.

The applicant for this application and owner of the property at 301-313 West North Avenue/ 1550-1566 North North Park Avenue is 301 W. North Avenue, LP, with an address of 1525 West Homer, Ste. 401, Chicago, Illinois 60642; the owner of the property at 1544-48 North North Park Avenue is 301 W. North Avenue Lots, LLC, with an address of 1525 West Homer, Ste. 401, Chicago, Illinois 60642. I am the duly authorized attorney for the applicant and can be reached at Thompson Coburn, LLP, 55 East Monroe Street, 37<sup>th</sup> Floor, Chicago, Illinois 60603. My telephone number is (312) 580-2209.

PLEASE NOTE THAT THE APPLICANT IS NOT SEEKING TO REZONE OR PURCHASE YOUR PROPERTY. THE APPLICANT IS REQUIRED BY LAW TO SEND THIS NOTICE BECAUSE YOU OWN PROPERTY WITHIN 250 FEET OF THE PROPERTY TO BE REZONED.

Very truly yours,

Bernard I. Citron Attorney for Applicant

"WRITTEN NOTICE" AFFIDAVIT (Section 17-13-0107)

September 10, 2019

Chairman Thomas Tunney 121 North LaSalle Street Room 300, City Hall Chicago, Illinois 60602

The undersigned, Bernard I. Citron, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought for a rezoning application; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant filed the application for a zoning change on approximately September 10, 2019.

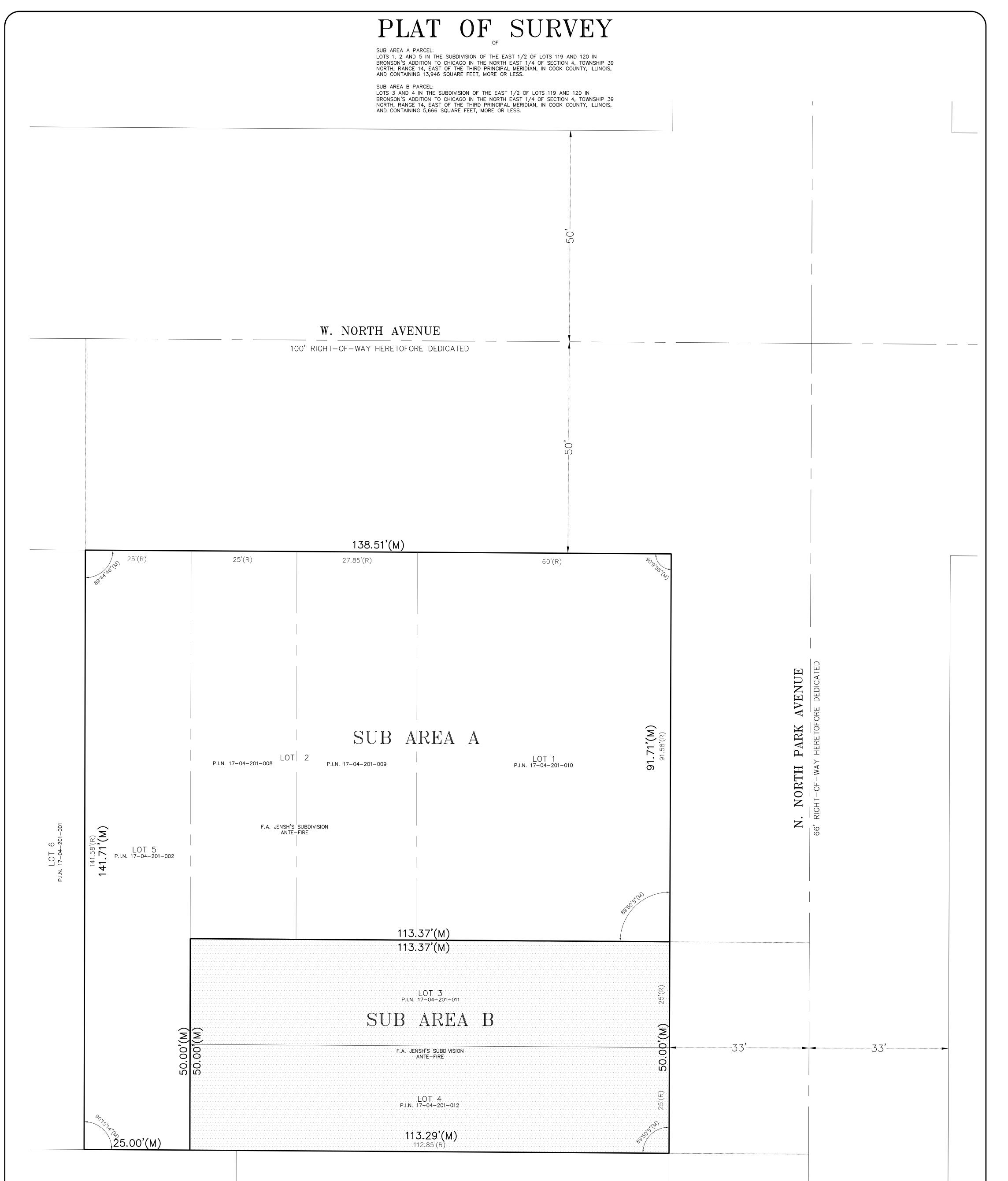
The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Signature

Subscribed and Sworn to before me this day of , 2019.

Notary Public

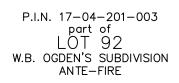
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
OFFICIAL SEAL
MICHELLE EDELSTEIN
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:09/27/22
2



G: \IG\14\14578\Survey\14578705.dwg

ALL DISTANCE NO DIMENSION COMPARE YOU AND AT ONCE REFER TO TIT	RE RECORD AND MEASURED DISTANCES RESPECTIVELY. IS IN FEET AND DECIMAL PARTS THEREOF. NS TO BE ASSUMED FROM SCALING. JR DESCRIPTION AND SITE MARKINGS WITH THIS PLAT E REPORT ANY DISCREPANCIES WHICH YOU MAY FIND. ILE REPORT FOR ANY EASEMENTS, COVENANTS OR THAT MAY EXIST BUT ARE NOT SHOWN HEREON.	NORTH		GRAPHIC S 0 5 10 ( IN FEET 1 inch = 10	20 	40
PROJECT NUMBER 14578	PLAT OF SURV 301-313 W. NORTH AV 1544-1546 N. PARK A	ENUE &	CONSULTING 300 MARQUARDT DRIVE	<b>DNSUL</b> CIVIL ENGINEE WHEELING, ILLINOIS 60090 DN GROVE, WISCONSIN 53182	RS & LAND PH. (847) 215-113	SURVEYORS 3   FAX (847) 215-1177
		ILINOIC	PREPARED FOR:	SEDGWICK PROPER	RTIES	SCALE: 1" = 10'
	CHICAGO, ILLINO	19	FIELD CREW: D.J.	FIELD WORK:04/01/2014	DRAFTED BY: J.H.	CHECKED BY:
$\leq$	COPYRIGHT AS DATED © IG CONSULTING, INC.			FIRM NO. 184-	001330	
-						

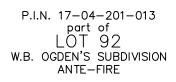
SUB AREA A: GROSS SITE AREA (TO CENTER OF R.O.W.S):	25,549 SQ.FT.
NET SITE AREA (PARCEL ONLY):	13,946 SQ.FT.
SUB AREA B: GROSS SITE AREA (TO CENTER OF R.O.W.):	7,316 SQ.FT.
NET SITE AREA: (PARCEL ONLY):	5,666 SQ.FT.



NO IMPROVEMENTS SHOWN HEREON.

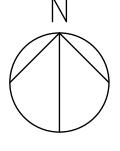
MONUMENTS NOT SET PER CLIENT'S WRITTEN REQUEST.

(R) & (M) ARE RECORD AND MEASURED DISTANCES RESPECTIVELY.





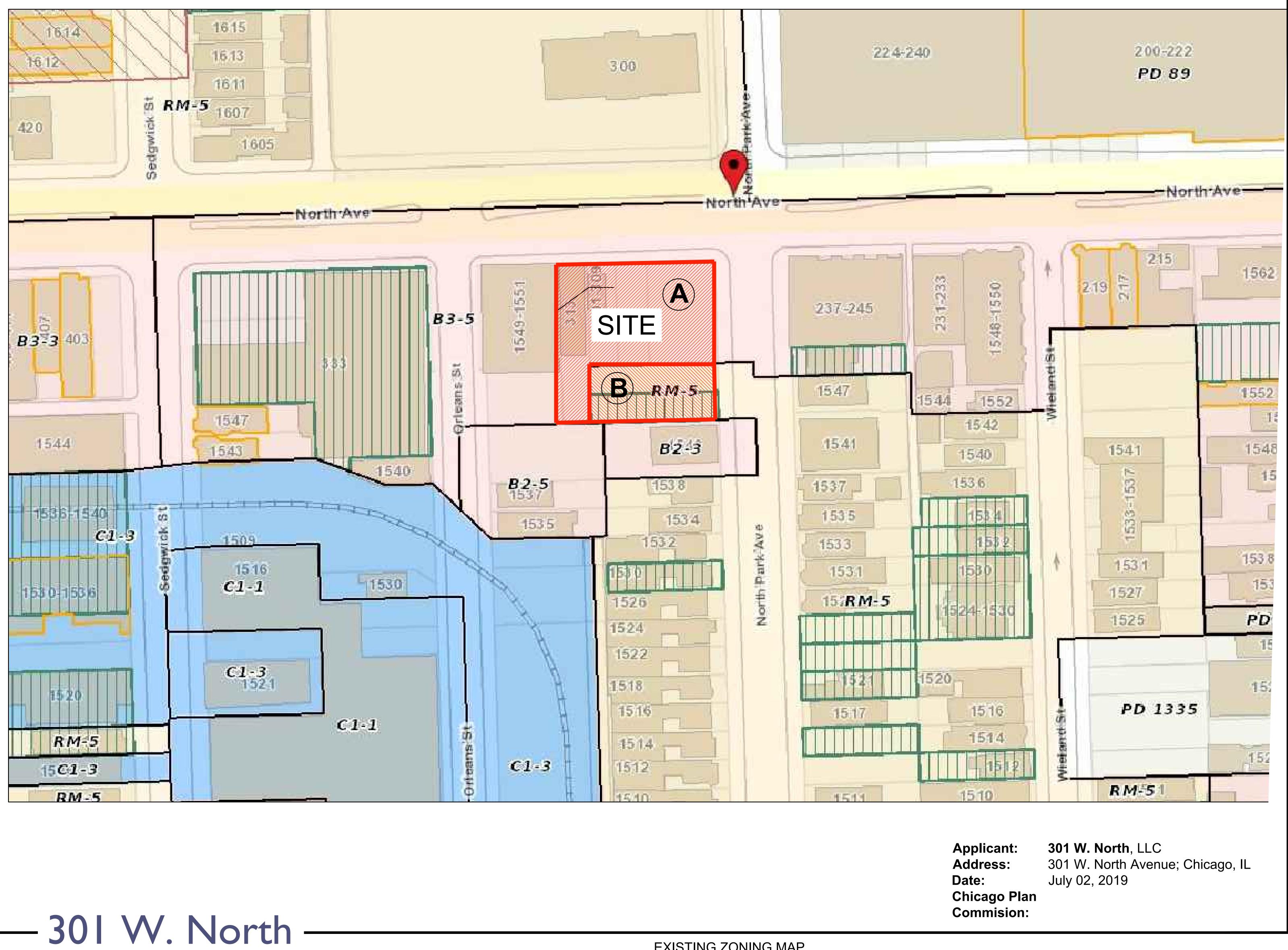
# - 301 W. North ——

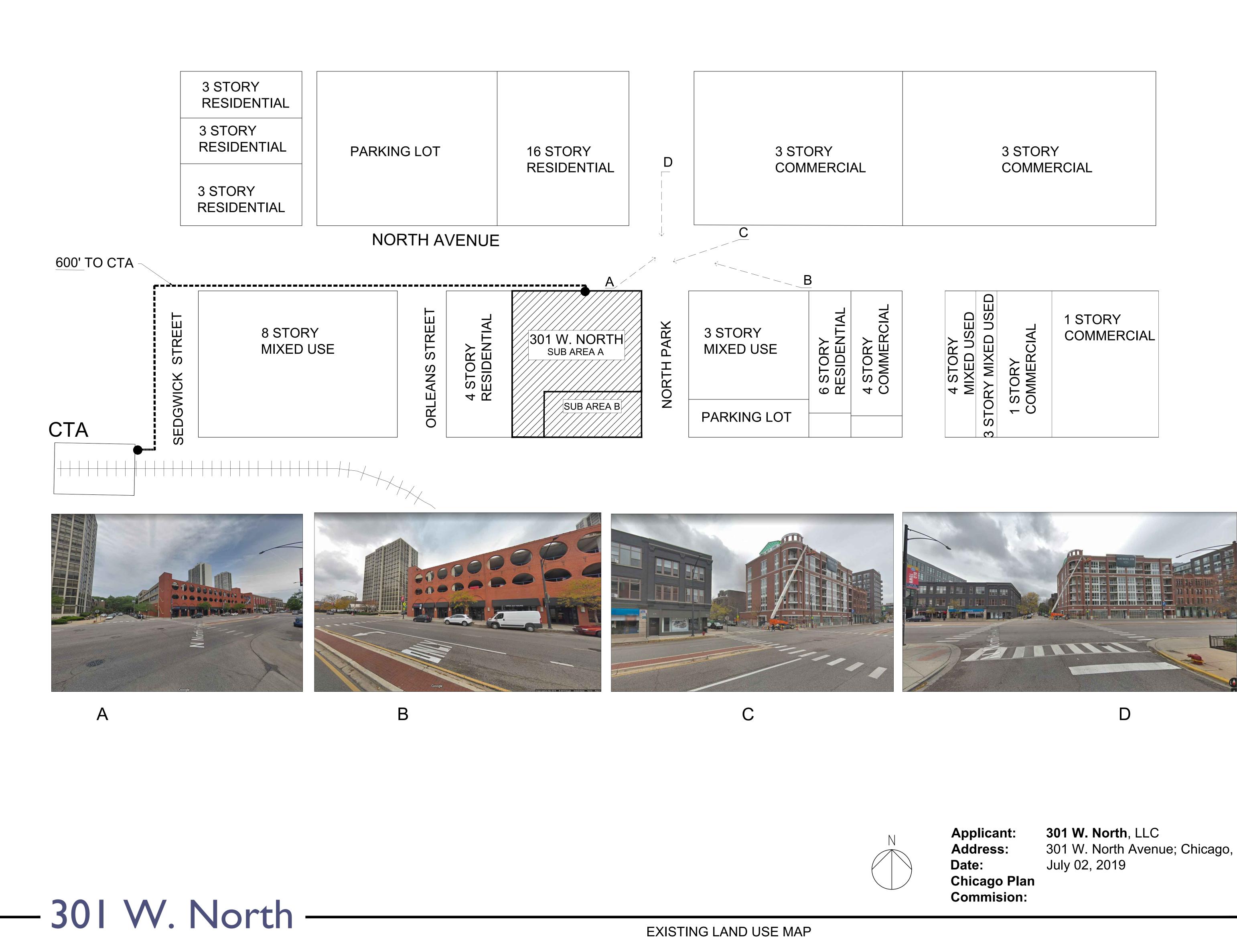


## AERIAL PHOTOGRAPH

Applicant: Address: Date: Chicago Plan Commision:

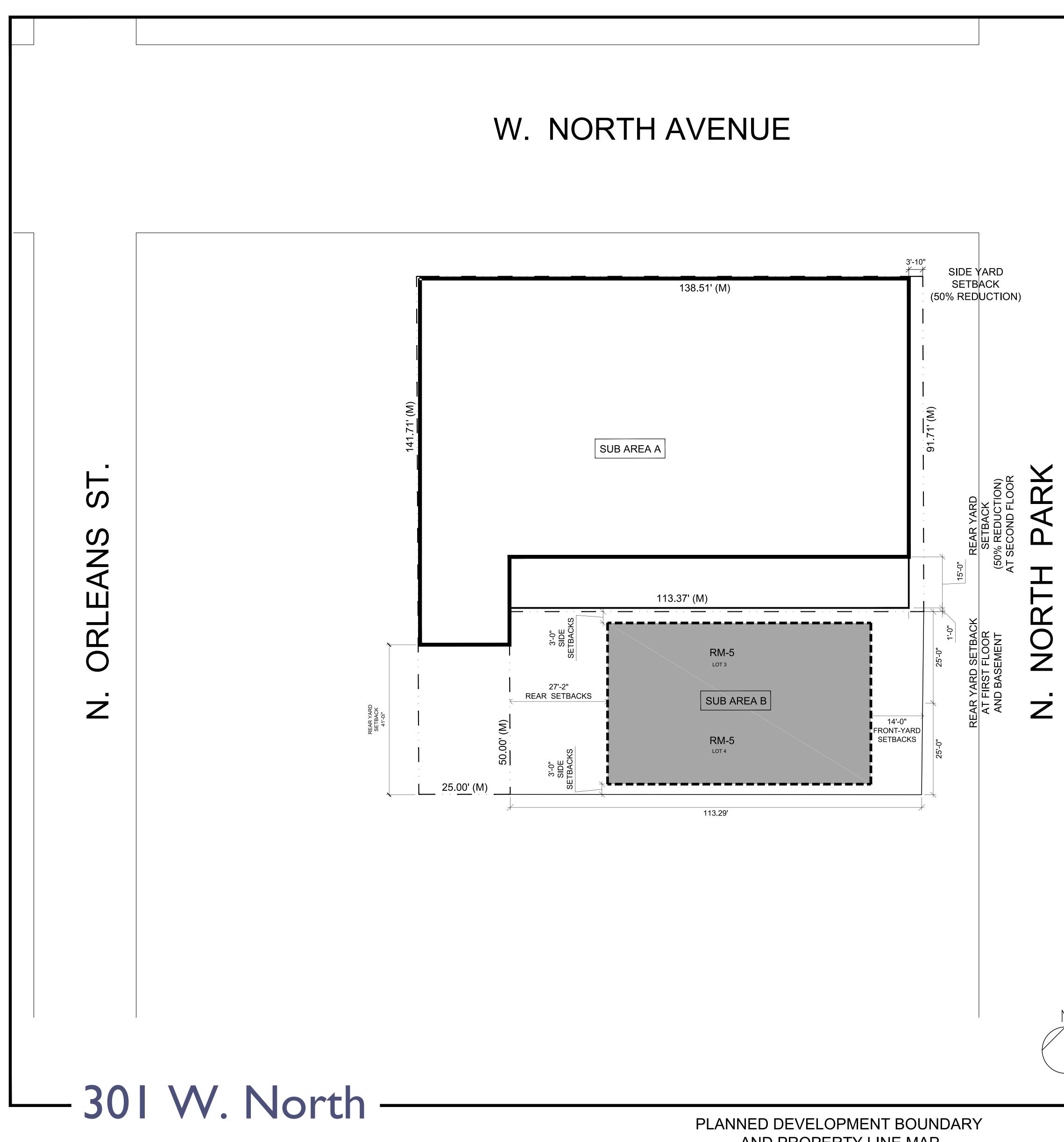
**301 W. North**, LLC 301 W. North Avenue; Chicago, IL July 02, 2019





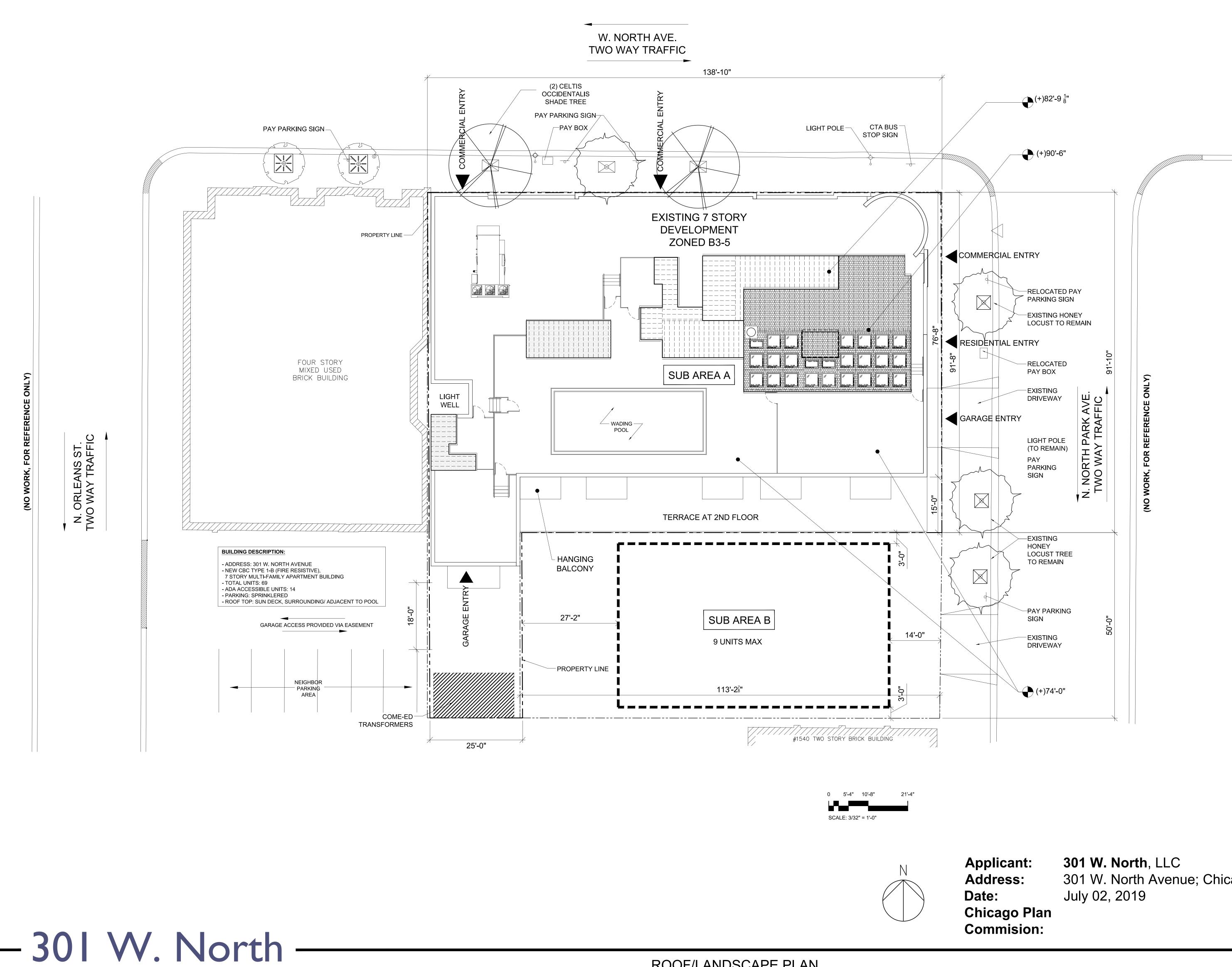


301 W. North Avenue; Chicago, IL



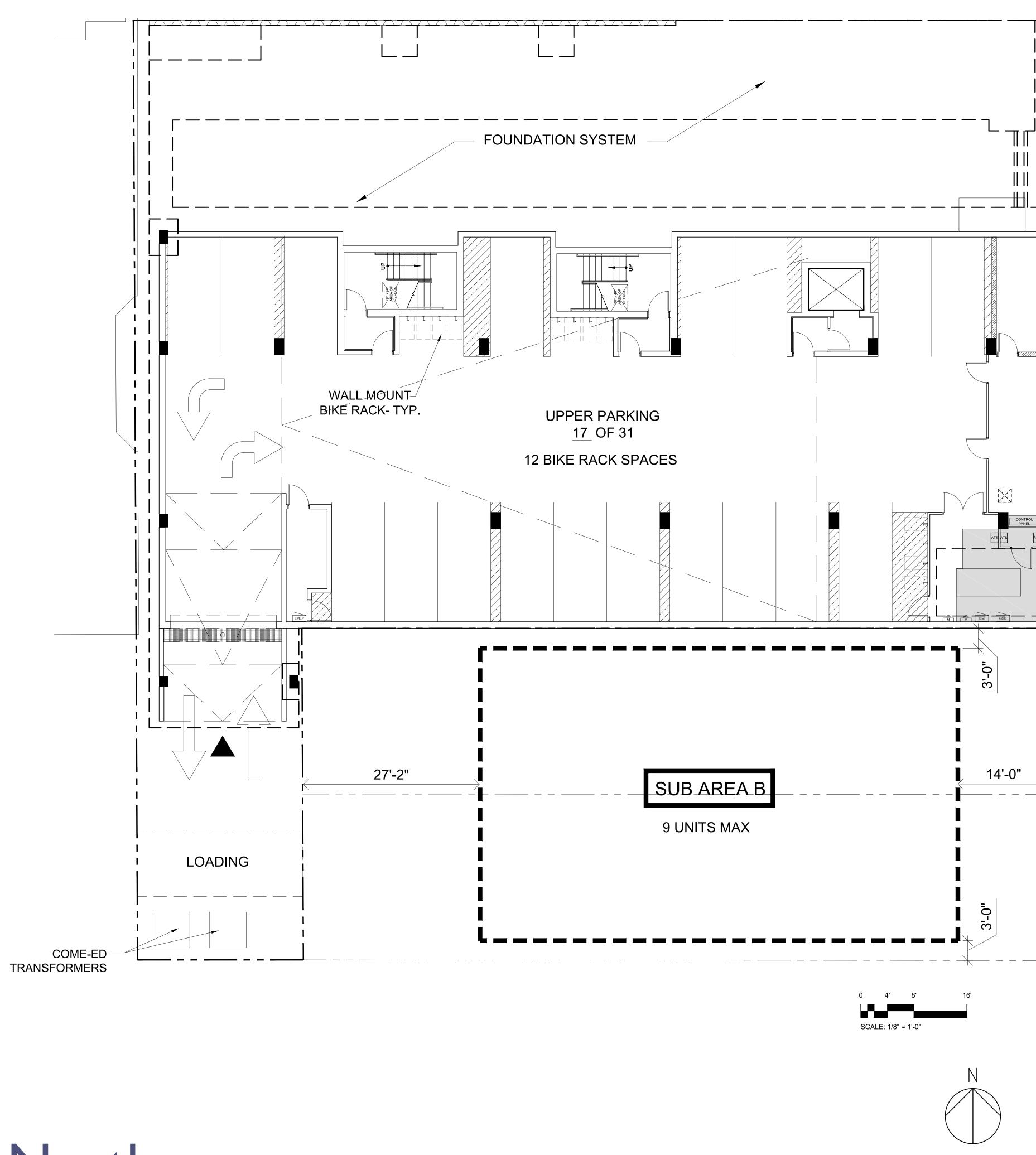
AND PROPERTY LINE MAP







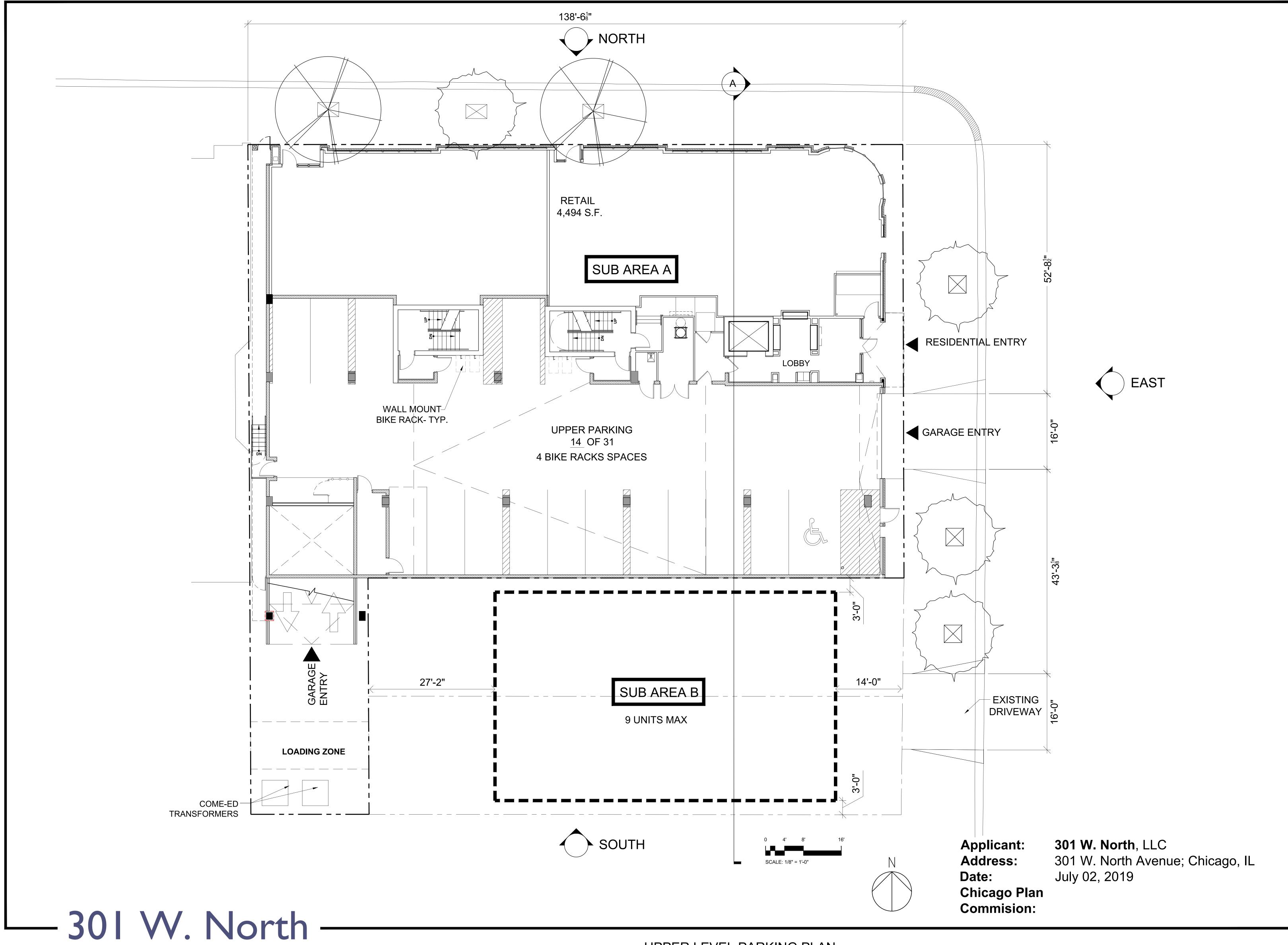
301 W. North Avenue; Chicago, IL



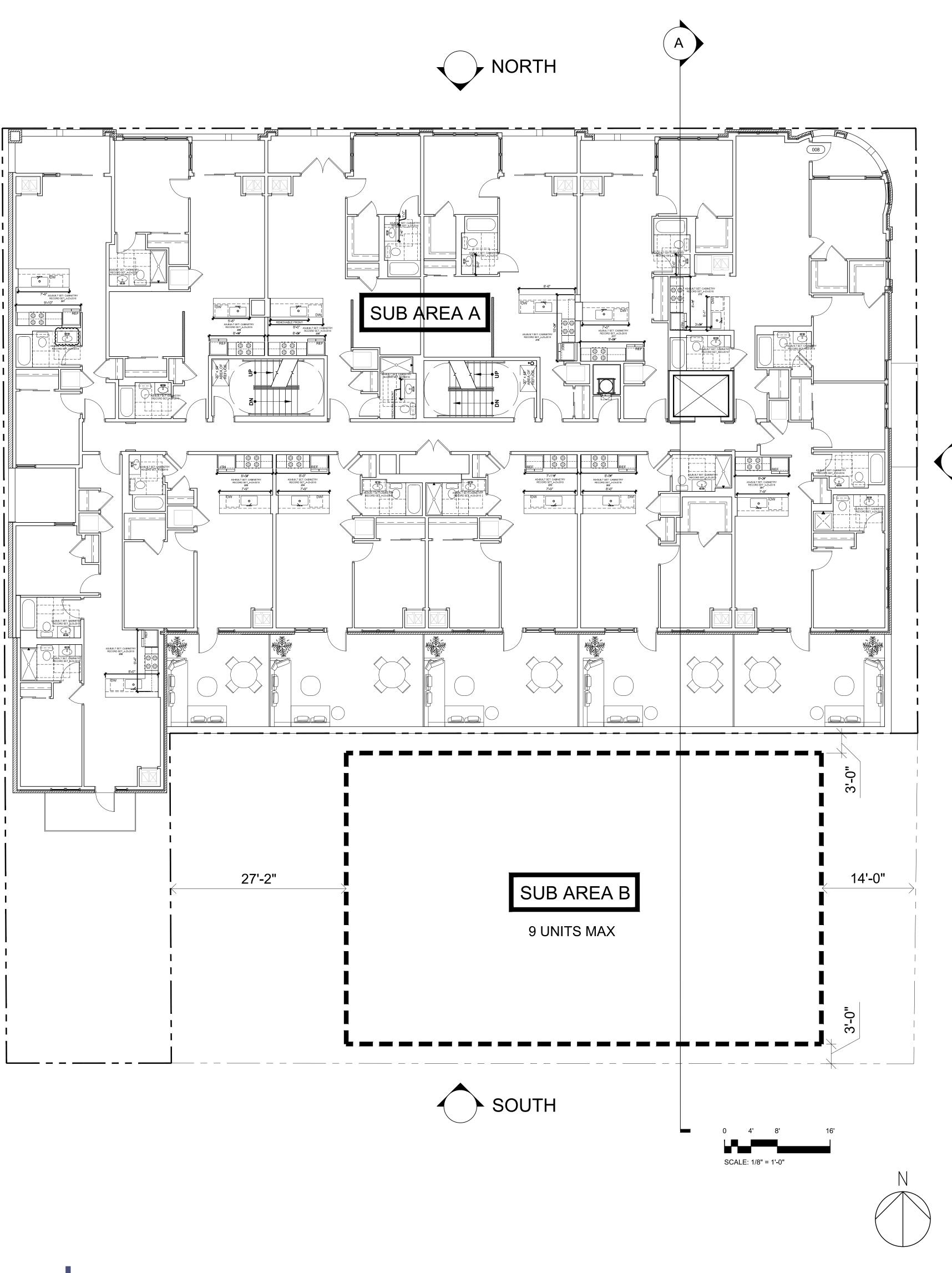
## LOWER LEVEL PARKING PLAN

Applicant: Address: Date: Chicago Plan Commision:

301 W. North, LLC 301 W. North Avenue; Chicago, IL July 02, 2019



## UPPER LEVEL PARKING PLAN

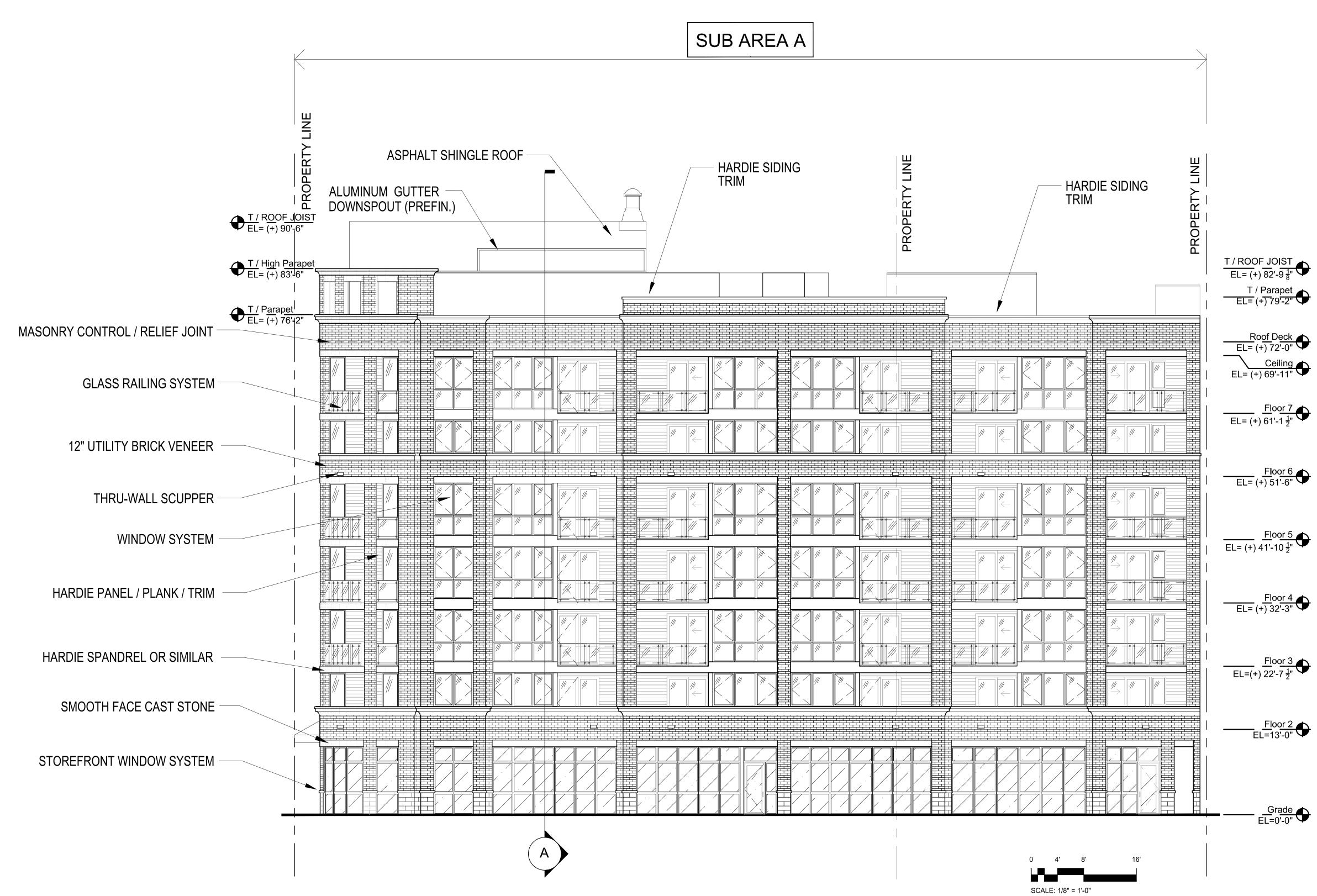


TYPICAL FLOOR PLAN



Applicant: Address: Date: Chicago Plan Commision:

**301 W. North**, LLC 301 W. North Avenue; Chicago, IL July 02, 2019



NORTH ELEVATION

Ν

 $\frac{T / ROOF JOIST}{EL= (+) 82'-9 \frac{1}{8}"} \bigoplus$ T / Parapet EL= (+) 79'-2" •

Roof Deck EL= (+) 72'-0" Ceiling EL= (+) 69'-11"

EL= (+)  $\frac{\text{Floor 7}}{61'-1\frac{1}{2}"}$ 

 $\frac{\text{Floor 6}}{\text{EL=}(+)51'-6"} \bigoplus$ 

 $\frac{Floor 5}{EL=(+) 41'-10\frac{1}{2}} \bullet$ 

 $\frac{\text{Floor 3}}{\text{EL}=(+)} 22'-7\frac{1}{2''} \bullet$ 

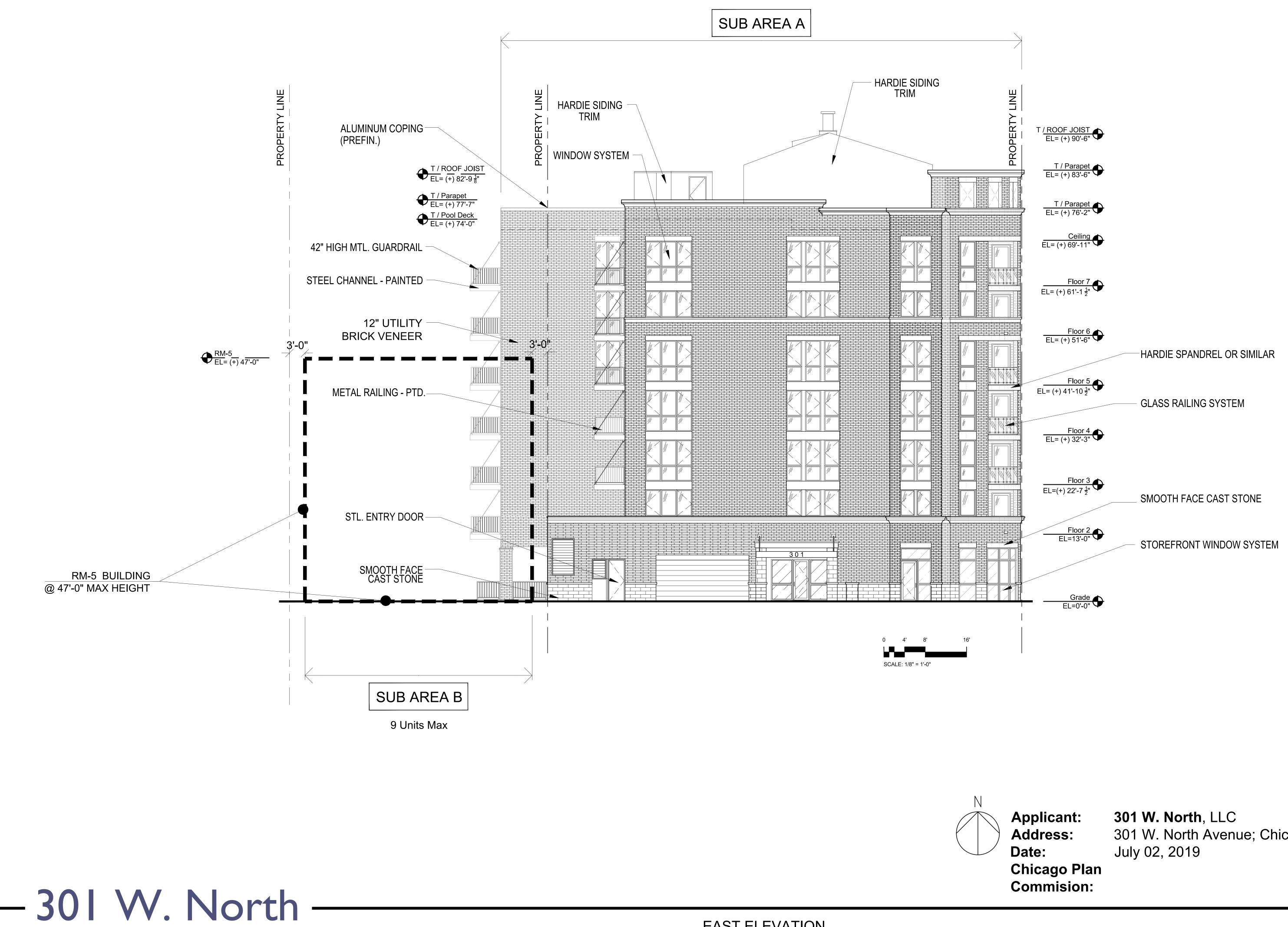
\_\_\_\_\_<u>Floor 2</u> EL=13'-0"

Grade EL=0'-0"



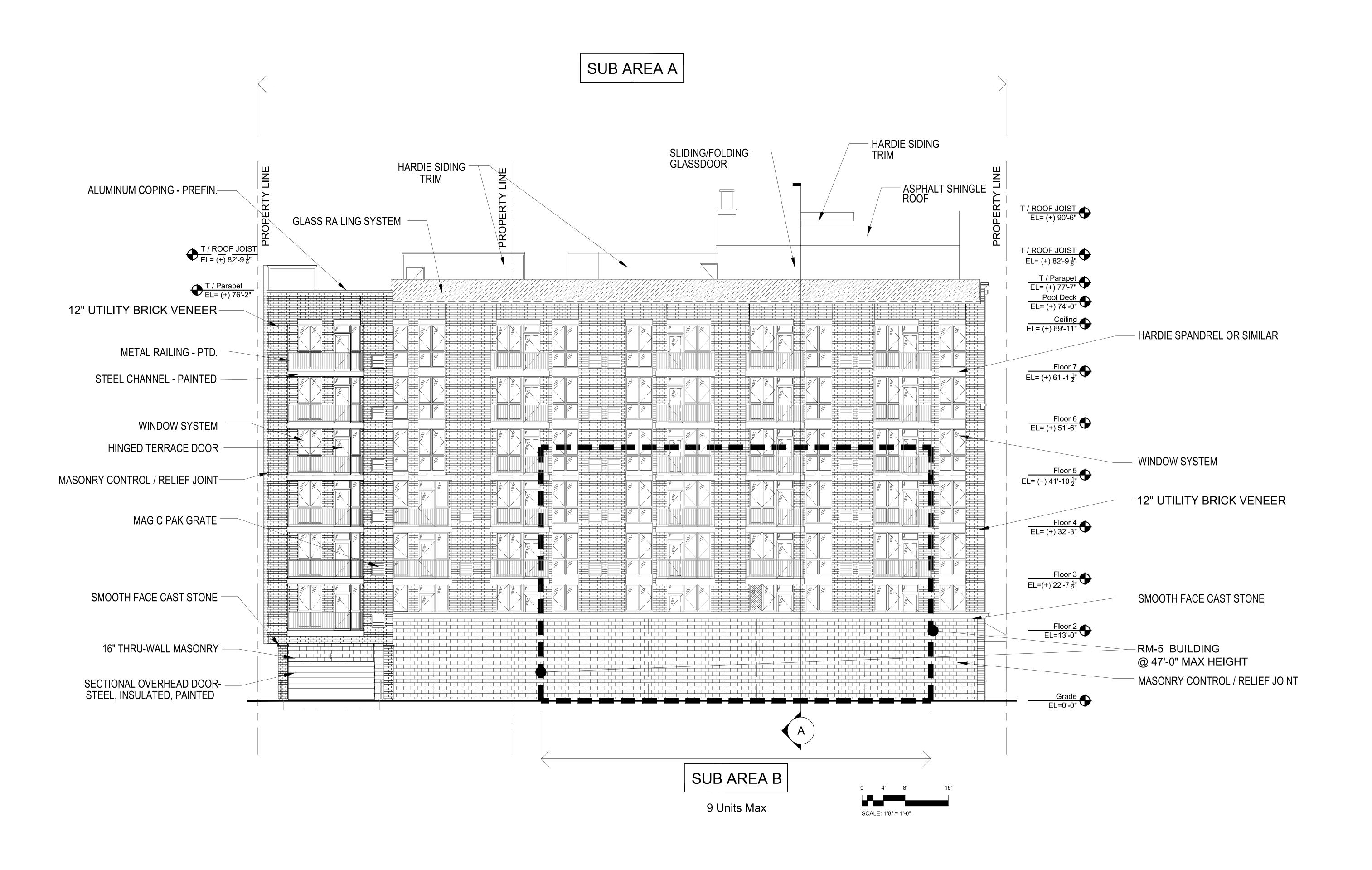
Applicant: Address: Date: Chicago Plan **Commision:** 

301 W. North, LLC 301 W. North Avenue; Chicago, IL July 02, 2019



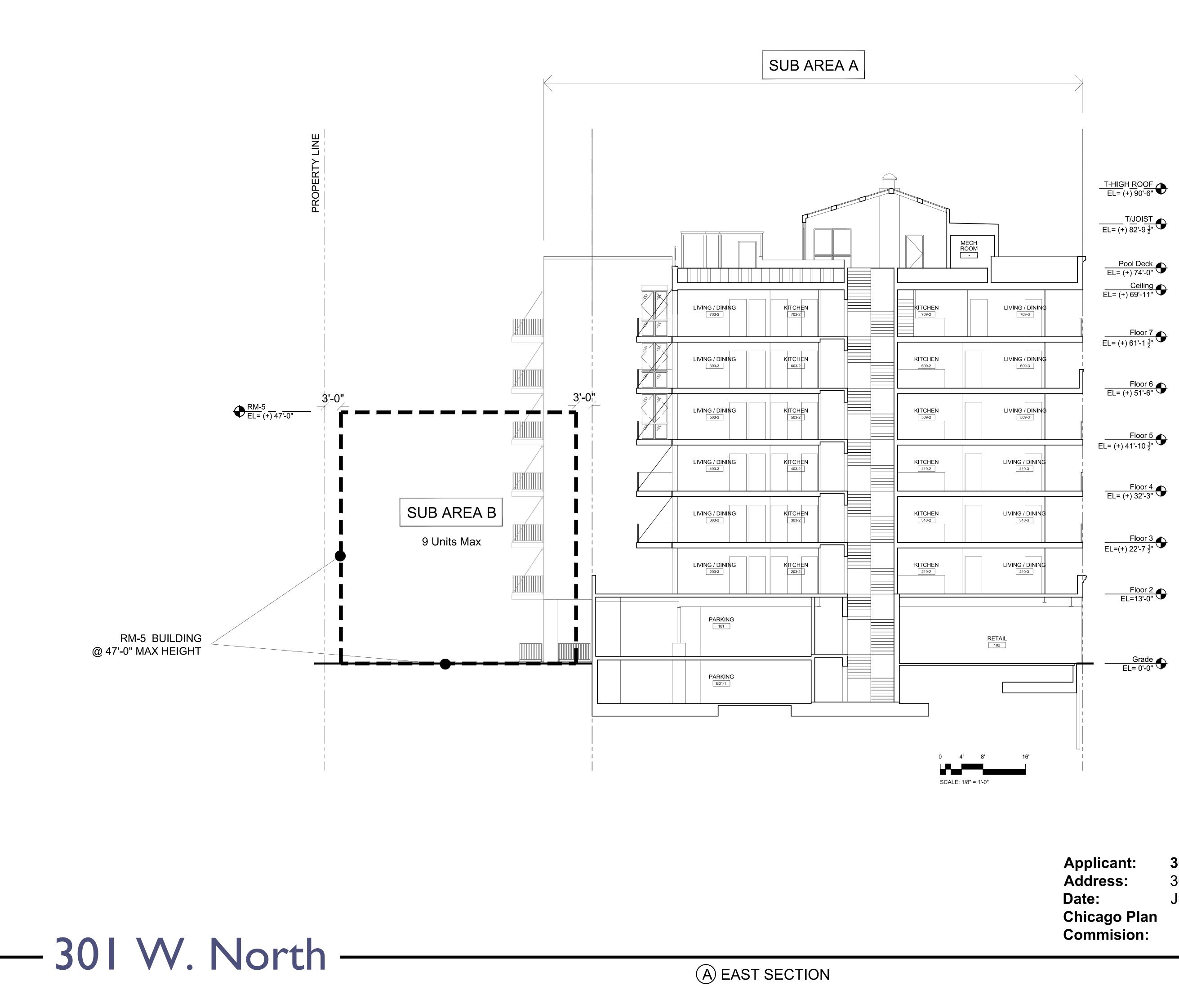
_		
$\overline{\ }$	$\mathbf{X}$	
	$\mathcal{A}$	
-		

301 W. North Avenue; Chicago, IL





Applicant:301 W. North, LLCAddress:301 W. North Avenue; Chicago, ILDate:July 02, 2019Chicago PlanFormision:



301 W. North, LLC 301 W. North Avenue; Chicago, IL July 02, 2019

14-33-421-004-0000 LOUIS F STAR 7975 HILLCREST ROAD INDIANAPOLIS, IN 46240

14-33-421-011-0000 JILL GRAY 329 W CONCORD PL CHICAGO, IL 60614

14-33-421-014-0000 CONCO REALTY 1339 CRESTWOOD DR NORTHBROOK, IL 60062

14-33-421-017-0000 PAUL C LAMANTIA 315 W CONCORD PL CHICAGO, IL 60614

14-33-421-020-0000 FRED EHMKE 307 CONCORD CHICAGO, IL 60614

14-33-421-023-0000 A A BOLIKER 301 W CONCORD CHICAGO, IL 60614

14-33-421-047-0000 ADAM NICOLE FRIEDMAN 331 W CONCORD PL CHICAGO, IL 60614

14-33-421-053-1001 ILYA MAZYA 1605 N SEDGWICK #A CHICAGO, IL 60614

14-33-421-054-0000 B L NIEDERMAIER 1613 SEDGWICK CHICAGO, IL 60614 List May Change

14-33-421-005-0000 BONNIE FONG 1617 N SEDGWICK ST CHICAGO, IL 60614

14-33-421-012-0000 PAMELA A FRITZCHE 3638 N HAMILTON AVE CHICAGO, IL 60618

14-33-421-015-0000 STEPHEN PRASSAS 319 W CONCORD PLACE CHICAGO, IL 60614

14-33-421-018-0000 JAMES SUE PAJAKOWSKI 313 W CONCORD PL CHICAGO, IL 60614

14-33-421-021-0000 RYAN MCGOVERN 305 W CONCORD PL CHICAGO, IL 60614

14-33-421-042-0000 N PARK TWR COOP 300 W NORTH AVE CHICAGO, IL 60610

14-33-421-050-0000 A SACCONE SON 6310 N CICERO AV CHICAGO, IL 60646

14-33-421-053-1002 KUN YAN 340 W NORTH AVE #B CHICAGO, IL 60610

14-33-421-055-0000 ARTHUR MINETZ 1611 N SEDGWICK ST CHICAGO, IL 60614 14-33-421-006-0000 JIERU ZHENG 1615 N SEDGWICK ST CHICAGO, IL 60614

14-33-421-013-0000 CONCO REALTY LLC 1339 CRESTWOOD DR NORTHBROOK, IL 60062

14-33-421-016-0000 RONALD ROMAN 317 W CONCORD PL CHICAGO, IL 60614

14-33-421-019-0000 DARLENE JOHNSON 311 W CONCORD CHICAGO, IL 60614

14-33-421-022-0000 P CASSIDAY J KNOCHE 303 W CONCORD PL CHICAGO, IL 60614

14-33-421-046-0000 LAURIE MILLER 335 W CONCORD PL CHICAGO, IL 60614

14-33-421-051-0000 A SACCONE SON 6310 N CICERO AV CHICAGO, IL 60646

14-33-421-053-1003 THOMAS C WEIS 338 W NORTH AV CHICAGO, IL 60610

14-33-421-056-0000 TERI L ROSS 1607 N SEDGWICK ST CHICAGO, IL 60614

#### 14-33-422-026-0000 ACANTHUS CAPITAL LLC 162 LEDGE VIEW DR HUNTSVILLE, AL 35802

14-33-422-029-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181

14-33-422-035-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181

14-33-422-041-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181

#### 14-33-422-055-0000

CITY OF CHICAGO 30 N. LASALLE, SUITE 3700 CHICAGO, IL 60602

14-33-422-057-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, 1L 60181

14-33-422-059-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181

14-33-422-062-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181

#### List May Change

14-33-422-027-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181

14-33-422-030-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181

14-33-422-036-0000 OLD TOWN DEVELPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181

#### 14-33-422-052-0000

CITY OF CHICAGO 30 N. LASALLE, SUITE 3700 CHICAGO, IL 60602

14-33-422-056-0000

CITY OF CHICAGO 30 N. LASALLE, SUITE 3700 CHICAGO, IL 60602

14-33-422-058-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181 14-33-422-028-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181

14-33-422-034-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181

14-33-422-040-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181

#### 14-33-422-054-0000

CITY OF CHICAGO 30 N. LASALLE, SUITE 3700 CHICAGO, IL 60602

14-33-422-061-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181

14-33-422-063-0000 OLD TOWN DEVELOPMENT 9 ONE PARKVIEW PLAZA FL OAKBROOK TER, IL 60181

14-33-422-070-1001 FERN C BOMCHILL TRUSTE 246 W CONCORD LN CHICAGO, IL 60614

14-33-422-064-0000

STREET

14-33-422-070-1002 WM CHRITIAN MELLON 242 CONCORD LN CHICAGO, IL 60614

14-33-422-070-1005 LEIB ROSEN 230 W CONCORD 5 CHICAGO, IL 60614

14-33-422-070-1008 ALLAN HORWICH 216 W CONCORD LANE CHICAGO, IL 60614

14-33-422-070-1011 TERESA BESS 237 W CONCORD LANE CHICAGO, IL 60614

14-33-422-070-1014 REEVES GRAHAM 225 W CONCORD LN CHICAGO, IL 60614

14-33-422-070-1017 J HUANG A KIM 1 E DELAWARE PL #33J CHICAGO, IL 60611

14-33-422-070-1020 ELLEN M BABBITT 201 W CONCORD CHICAGO, IL 60614

17-04-200-002-0000 333 WEST NORTH AVE LLC 214 W OHIO 3RD FL CHICAGO, IL 60654

17-04-200-005-0000 1543 N SEDGWICK 2815 W ROSCOE AVE CHICAGO, IL 60618 List May Change

14-33-422-070-1003 TERRY SULLIVAN 238 CONCORD LANE CHICAGO, IL 60614

14-33-422-070-1006 GARY J LEHMAN 4217 P O BOX LAFAYETTE, IN 47903

14-33-422-070-1009 DAVID RAPHAEL 245 W CONCORD LN CHICAGO, IL 60614

14-33-422-070-1012 ERIC D HASSBERGER 233 W CONCORD LN CHICAGO, IL 60614

14-33-422-070-1015 JILL JOHNSON AS TRUSTE 221 W CONCORD LN CHICAGO, IL 60614

14-33-422-070-1018 STEPHEN SCHULSON 209 W CONCORD LN CHICAGO, IL 60614

17-04-200-003-0000 333 WEST NORTH AVE LLC 214 W OHIO 3RD FL CHICAGO, IL 60654

17-04-200-006-0000 ORLEANS STORAGE LLC 7300 N CICERO STE 201 LINCOLNWOOD, IL 60712 14-33-422-070-1004 BRIAN WEGNER 234 W CONCORD LN CHICAGO, IL 60614

14-33-422-070-1007 JOSEPH ALFREDO SUAREZ 220 W CONCORD LN CHICAGO, IL 60614

14-33-422-070-1010 WILLIAM MCHORRIS 241 W CONCORD LN #10 CHICAGO, IL 60614

14-33-422-070-1013 ROBERT KAESTNER 229 W CONCORD LN 13 CHICAGO, IL 60614

14-33-422-070-1016 RANDY EVANGELIDES 219 WEST CONCORD LN CHICAGO, IL 60614

14-33-422-070-1019 PEGGY A DAVIS 205 W CONCORD LN CHICAGO, IL 60614

17-04-200-001-0000 333 WEST NORTH AVE LLC 214 W OHIO 3RD FL CHICAGO, IL 60654

17-04-200-004-0000 JOHN C WEST 1547 N SEDGWICK ST CHICAGO, IL 60610

17-04-200-007-0000 ORLEANS STORAGE LLC 7300 N CICERO STE 201 LINCOLNWOOD, IL 60712

17-04-200-008-0000 ORLEANS STORAGE LLC 7300 N CICERO STE 201 LINCOLNWOOD, IL 60712

17-04-200-028-0000 ANATHAN THANGAVEL 1530 N ORLEANS ST CHICAGO, IL 60610

17-04-200-031-0000 ORLEANS STORAGE LLC 7300 N CICERO STE 201 LINCOLNWOOD, IL 60712

17-04-200-073-0000 GEORGE WILSON IV 1540 N ORLEANS ST CHICAGO, IL 60610

17-04-200-096-1003 MATTHEW D DEICHL 1546 N ORLEANS 401 CHICAGO, IL 60610

17-04-200-096-1006 MATTHEW P ANDERSEN 1546 N ORLEANS #701 CHICAGO, IL 60610

17-04-200-096-1009 ELIZABETH G PURTELL 455 W ROSLYN PL CHICAGO, IL 60614

17-04-200-096-1012 JOHN LIN 1546 N ORLEANS ST#602 CHICAGO, IL 60610

17-04-200-096-1015 PAUL M HAHN 1546 N ORLEANS 902 CHICAGO, IL 60610 List May Change

17-04-200-009-0000 LINCOLN PARK COMM SERV 600 W FULLERTON CHICAGO, IL 60614

17-04-200-029-0000 ORLEANS STORAGE LLC 7300 N CICERO STE 201 LINCOLNWOOD, IL 60712

17-04-200-032-0000 ORLEANS STORAGE LLC 7300 N CICERO STE 201 LINCOLNWOOD, IL 60712

17-04-200-096-1001 ORLEANS STORAGE LLC 7300 N CICERO AVE#201 LINCOLNWOOD, IL 60712

17-04-200-096-1004 K R OBRIEN 1546 N ORLEANS #501 CHICAGO, IL 60610

17-04-200-096-1007 BASIL APOSTOLOU 1546 N ORLEAN #801 CHICAGO, IL 60610

17-04-200-096-1010 MARGARET MAXSTADT 1546 N ORLEANS ST#405 CHICAGO, IL 60610

17-04-200-096-1013 PAULA GAZARKIEWICZ 1546 N ORLEANS ST#702 CHICAGO, IL 60610

17-04-200-096-1016 JACK JOCELYN CHENG 1546 ORLEANS ST #1002 CHICAGO, IL 60610 17-04-200-010-0000 LINCOLN PARK COMM SERV 600 W FULLERTON CHICAGO, IL 60614

17-04-200-030-0000 ORLEANS STORAGE LLC 7300 N CICERO STE 201 LINCOLNWOOD, IL 60712

17-04-200-070-0000 STREET

17-04-200-096-1002 SONA 345 NORTH AVENUE 3775 W ARTHUR AVE LINCOLNWOOD, IL 60712

17-04-200-096-1005 JOHN BELCONIS 1546 N ORLEANS 601 CHICAGO, IL 60610

17-04-200-096-1008 BOZHIDAR PETROV 1444 N ORLEANS ST 5H CHICAGO, IL 60610

17-04-200-096-1011 HANNAH KANG 1546 N ORLEANS #502 CHICAGO, IL 60610

17-04-200-096-1014 A GREENE 1546 N ORLEANS ST#802 CHICAGO, IL 60610

17-04-200-096-1017 DEREK BECKMAN 1546 N ORLEANS 403 CHICAGO, IL 60610

17-04-200-096-1018 ANDREW ROWE 1546 N ORLEANS ST 503 CHICAGO, IL 60610

17-04-200-096-1021 GINA WELDY 1524 N ORLEANS ST #803 CHICAGO, IL 60610

17-04-200-096-1024 VAIDAS SULNIUS 535 COBBLESTONE LANE BUFFALO GRV, IL 60089

17-04-200-096-1027 MATTHEW ROSS 1546 N ORLEANS ST CHICAGO, IL 60610

17-04-200-096-1030 DAVID A ARNOLD 2121 N RACINE AVE CHICAGO, IL 60614

17-04-200-096-1033 SCOTT LESHINSKI 1546 N ORLEANS #605 CHICAGO, IL 60610

17-04-200-096-1036 STEPHANIE K FRASER 1546 N ORLEANS 905 CHICAGO, IL 60610

17-04-200-096-1039 MEAGAN A METKOWSKI 1546 N ORLEANS ST#506 CHICAGO, IL 60610

17-04-200-096-1042 KENNETH H COWAN 9826 HARNEY PKWY N OMAHA, NE 0 List May Change

17-04-200-096-1019 JAMES B FUNKHOUSER 2613 N GREENVIEW #H CHICAGO, IL 60614

17-04-200-096-1022 OLD TOWN PROPERTY LLC 5242 TORREY PINE CIR LONG GROVE, IL 60047

17-04-200-096-1025 TIMOTHY SULLIVAN 1546 N ORLEANS ST CHICAGO, IL 60610

17-04-200-096-1028 CATHERINE K PLEDGER 1546 ORLEANS CHICAGO, IL 60610

17-04-200-096-1031 JAMES KINN 1546 N ORLEANS #405 CHICAGO, IL 60610

17-04-200-096-1034 JOSEPH T WICKLANDER 4140 N LEAVITT ST CHICAGO, IL 60618

17-04-200-096-1037 MICHAEL E SIMANEK 1548 N ORLEANS CHICAGO, IL 60610

17-04-200-096-1040 MATTHEW CABLE 1546 N ORLEANS ST #606 CHICAGO, IL 60610

17-04-200-096-1043 ELIZABETH KANTZAVELOS 1712 CHANCELLOR ST EVANSTON, IL 60201 17-04-200-096-1020 KEVIN FANNING 955 W 36TH STREET CHICAGO, IL 60609

17-04-200-096-1023 M DLUGOS 1546 N ORLEANS#1003 CHICAGO, IL 60610

17-04-200-096-1026 DAVID H KIM 1546 N ORLEANS ST #604 CHICAGO, IL 60610

17-04-200-096-1029 MARY P CARTER 1546 N ORLEANS 904 CHICAGO, IL 60610

17-04-200-096-1032 TOM CUCUZ 5502 ARBOR BAY DR BRIGHTON, MI 48116

17-04-200-096-1035 MATTHEW MICHAEL 1546 N ORLEANS ST#805 CHICAGO, IL 60610

17-04-200-096-1038 MAGGIE GUTKIN AS TRUST 1546 N ORLEANS ST#406 CHICAGO, IL 60610

17-04-200-096-1041 JENNY KAMINSKI 1546 N ORLEANS ST#706 CHICAGO, IL 60610

17-04-200-096-1044 WILLIAM M MILLER 1546 N ORLEANS ST#1006 CHICAGO, IL 60610

17-04-200-096-1045 TIMOTHY M FORNERO 320 W ILLINOIS ST#1210 CHICAGO, IL 60654

17-04-200-096-1048 OLD TOWN PROPERTY LLC 5242 TORREY PINE CIR LONG GROVE, IL 60047

17-04-200-096-1051 MEHRAN JAVID 1546 N ORLEANS ST 408 CHICAGO, IL 60610

17-04-200-096-1054 ALPHA TRUST 838 BUSSE HWY PARK RIDGE, IL 60068

17-04-200-096-1057 DEIRDRE JAVID 1546 N ORLEANS 409 CHICAGO, IL 60610

17-04-200-096-1060 DANIELLE VALENTE 226 E PROSPECT AVE LAKE BLUFF, IL 60044

17-04-200-096-1063 DEREK BECKMAN 1546 N ORLEANS 403 CHICAGO, IL 60610

17-04-200-096-1066 PAULA GAZARKIEWICZ 1546 N ORLEANS ST#702 CHICAGO, IL 60610

17-04-200-096-1069 TOM CUCUZ 3558 POINTE SHORE DR BRIGHTON, MI 48114 List May Change

17-04-200-096-1046 PAUL B FICHTER 117 SOUTH CLAY STREET HINSDALE, IL 60521

17-04-200-096-1049 E REDENSKY 1546 ORLEANS #807 CHICAGO, IL 60610

17-04-200-096-1052 JOHN BEAULIEU 1546 N ORLEANS #508 CHICAGO, IL 60610

17-04-200-096-1055 FRANK PASSALACQUA 1546 N ORLEANS 808 CHICAGO, IL 60610

17-04-200-096-1058 JEANNE KRUSS 59812 P O BOX SCHAUMBURG, IL 60159

17-04-200-096-1061 MELISSA M CURTIS 1546 N ORLEANS #909 CHICAGO, IL 60610

17-04-200-096-1064 MATTHEW CABLE 1546 N ORLEANS ST #606 CHICAGO, IL 60610

17-04-200-096-1067 MEHRAN JAVID 1546 N ORLEANS ST 408 CHICAGO, IL 60610

17-04-200-096-1070 K R OBRIEN 1546 N ORLEANS #501 CHICAGO, IL 60610 17-04-200-096-1047 SAMIR PATEL 1546 N ORLEANS #607 CHICAGO, IL 60610

17-04-200-096-1050 SEAN COOK 1546 N ORLEANS ST 907 CHICAGO, IL 60610

17-04-200-096-1053 WESLEY OLKER 1546 N ORLEANS ST 608 CHICAGO, IL 60610

17-04-200-096-1056 JOHN KAREN PICKFORD 1546 N ORLEANS #908 CHICAGO, IL 60610

17-04-200-096-1059 OLD TOWN PROPERTY LLC 5242 TORREY PINE CIR LONG GROVE, IL 60047

17-04-200-096-1062 WILLIAM M MILLER 1546 N ORLEANS ST#1006 CHICAGO, IL 60610

17-04-200-096-1065 JAMES B FUNKHOUSER 2613 N GREENVIEW #H CHICAGO, IL 60614

17-04-200-096-1068 DEIRDRE JAVID 1546 N ORLEANS 409 CHICAGO, IL 60610

17-04-200-096-1071 TIMOTHY SULLIVAN 1546 N ORLEANS ST CHICAGO, IL 60610

17-04-200-096-1072 MATTHEW D DEICHL 1546 N ORLEANS 401 CHICAGO, IL 60610

17-04-200-096-1075 ELIZABETH KANTZAVELOS 1712 CHANCELLOR ST EVANSTON, IL 60201

17-04-200-096-1078 DAVID H KIM 1546 N ORLEANS ST #604 CHICAGO, IL 60610

17-04-200-096-1081 JEANNE KRUSS 59812 P O BOX SCHAUMBURG, IL 60159

17-04-200-096-1084 KEVIN FANNING 955 W 36TH STREET CHICAGO, IL 60609

17-04-200-096-1087 JACK JOCELYN CHENG 1546 ORLEANS ST #1002 CHICAGO, IL 60610

17-04-200-096-1090 JENNY KAMINSKI 1546 N ORLEANS ST#706 CHICAGO, IL 60610

17-04-200-096-1093 CATHERINE K PLEDGER 1546 ORLEANS CHICAGO, IL 60610

17-04-200-096-1096 JOHN BELCONIS 1546 N ORLEANS 601 CHICAGO, IL 60610

#### List May Change

17-04-200-096-1073 SONA 345 NORTH AVENUE 3775 W ARTHUR AVE LINCOLNWOOD, IL 60712

17-04-200-096-1076 MARY P CARTER 1546 N ORLEANS 904 CHICAGO, IL 60610

17-04-200-096-1079 JAMES KINN 1546 N ORLEANS #405 CHICAGO, IL 60610

17-04-200-096-1082 VAIDAS SULNIUS 535 COBBLESTONE LANE BUFFALO GRV, IL 60089

17-04-200-096-1085 KENNETH H COWAN 9826 HARNEY PKWY N OMAHA, NE 0

17-04-200-096-1088 MATTHEW P ANDERSEN 1546 N ORLEANS #701 CHICAGO, IL 60610

17-04-200-096-1091 OLD TOWN PROPERTY LLC 5242 TORREY PINE CIR LONG GROVE, IL 60047

17-04-200-096-1094 OLD TOWN PROPERTY LLC 5242 TORREY PINE CIR LONG GROVE, IL 60047

17-04-200-096-1097 ORLEANS ADVENTURES 608 1765 N ELSTON AVE CHICAGO, IL 60642 17-04-200-096-1074 SONA 345 NORTH AVENUE 3775 W ARTHUR AVE LINCOLNWOOD, IL 60712

17-04-200-096-1077 BASIL APOSTOLOU 1546 N ORLEANS #801 CHICAGO, IL 60610

17-04-200-096-1080 FRANK PASSALACQUA 1546 N ORLEANS 808 CHICAGO, IL 60610

17-04-200-096-1083 EDUARD REDENSKY 1546 ORLEANS #807 CHICAGO, IL 60610

17-04-200-096-1086 MELISSA M CURTIS 1546 N ORLEANS 909 CHICAGO, IL 60610

17-04-200-096-1089 MEAGAN A METKOWSKI 1546 N ORLEANS ST#506 CHICAGO, IL 60610

17-04-200-096-1092 OLD TOWN PROPERTY LLC 5242 TORREY PINE CIR LONG GROVE, IL 60047

17-04-200-096-1095 MATTHEW MICHAEL 1546 N ORLEANS ST#805 CHICAGO, IL 60610

17-04-200-096-1098 ELIZABETH G PURTELL 455 W ROSLYN PL CHICAGO, IL 60614 17-04-200-096-1099 MAGGIE GUTKIN AS TRUST 1546 N ORLEANS ST#406 CHICAGO, IL 60610

17-04-200-096-1102 JOHN BEAULIEU 1546 N ORLEANS #508 CHICAGO, IL 60610

17-04-200-096-1105 ALPHA TRUST 838 BUSSE HWY PARK RIDGE, IL 60068

17-04-200-096-1108 STEPHANIE KAY FRASER 1546 N ORLEANS 905 CHICAGO, IL 60610

17-04-200-096-1111 PAUL B FICHTER 117 SOUTH CLAY STREET HINSDALE, IL 60521

17-04-200-096-1114 ANDREW ROWE 1546 N ORLEANS ST 503 CHICAGO, IL 60610

17-04-200-096-1117 JOHN KAREN PICKFORD 1546 N ORLEANS #908 CHICAGO, IL 60610

17-04-200-096-1120 SAMIR PATEL PS 61 1546 N ORLEANS #607 CHICAGO, IL 60610

17-04-200-096-1123 M DLUGOS 1546 N ORLEANS#1003 CHICAGO, IL 60610 List May Change

17-04-200-096-1100 KAREN LISON 2100 N RACINE #3H CHICAGO, IL 60614

17-04-200-096-1103 MARGARET MAXSTADT 1546 N ORLEANS ST#405 CHICAGO, IL 60610

17-04-200-096-1106 TIMOTHY M FORNERO 320 W ILLINOIS ST#1210 CHICAGO, IL 60654

17-04-200-096-1109 BOZHIDAR PETROV 1444 N ORLEANS ST 5H CHICAGO, IL 60610

17-04-200-096-1112 HANNAH KANG 1546 N ORLEANS #502 CHICAGO, IL 60610

17-04-200-096-1115 SEAN COOK 1546 N ORLEANS ST 907 CHICAGO, IL 60610

17-04-200-096-1118 DAVID A ARNOLD 2121 N RACINE AVE CHICAGO, IL 60614

17-04-200-096-1121 JOSEPH T WICKLANDER 4140 N LEAVITT ST CHICAGO, IL 60618

17-04-201-001-0000 ACCOUNT NUMBER 0251 1541 N WELLS ST CHICAGO, IL 60610 17-04-200-096-1101 MATTHEW ROSS 1546 N ORLEANS ST CHICAGO, IL 60610

17-04-200-096-1104 JOHN LIN 1546 N ORLEANS ST#602 CHICAGO, IL 60610

17-04-200-096-1107 GINA WELDY 1546 N ORLEANS ST #803 CHICAGO, IL 60610

17-04-200-096-1110 MATTHEW ROSS 1546 N ORLEANS ST #704 CHICAGO, IL 60610

17-04-200-096-1113 S E LIBERMAN 1546 N ORLEANS ST 901 CHICAGO, IL 60610

17-04-200-096-1116 JOHN KAREN PICKFORD 1546 N ORLEANS #908 CHICAGO, IL 60610

17-04-200-096-1119 SCOTT LESHINSKI 1546 N ORLEANS #605 CHICAGO, IL 60610

17-04-200-096-1122 OLD TOWN PROPERTY LLC 5242 TORREY PINE CIR LONG GROVE, IL 60047

17-04-201-002-0000 301 W NORTH AVE LP 1525 W HOMER ST #401 CHICAGO, IL 60642

17-04-201-003-0000 ORLEANS INVESTMENT GRP 1320 N WOOD CHICAGO, IL 60622

17-04-201-008-0000 301 W NORTH AVE LP 1525 W HOMER ST #401 CHICAGO, IL 60642

17-04-201-011-0000 301 W NORTH AVE LP 1525 W HOMER ST #401 CHICAGO, IL 60642

17-04-201-015-0000 ALEXANDER GIANNOULIAS 1534 N NORTH PARK AVE CHICAGO, IL 60610

17-04-201-052-0000 BARBARA YOUNGBERG 1522 N NORTH PARK CHICAGO, IL 60610

17-04-201-055-0000 HOWARD HOFFMANN 1530 N NORTH PARK AV CHICAGO, IL 60610

17-04-201-065-1002 KOMORICAVE LLC 1538 N NORTH PARK AVE2 CHICAGO, IL 60610

17-04-202-007-0000 JAY KOVAR RITA RYAN 1535 N NORTH PARK AV CHICAGO, IL 60610

17-04-202-010-0000 1527 NORTH PARK AV LLC 100 LENOX DR SUITE LAWRENCEVILL, NJ 86482 List May Change

17-04-201-004-0000 ORLEANS INVESTMENT GRP 1320 N WOOD CHICAGO, IL 60622

17-04-201-009-0000 301 W NORTH AVE LP 1525 W HOMER ST #401 CHICAGO, IL 60642

17-04-201-012-0000 301 W NORTH AVE LP 1525 W HOMER ST #401 CHICAGO, IL 60642

17-04-201-019-0000 LEWIS GREENBLATT 1454 N NORTH PARK CHICAGO, IL 60610

17-04-201-053-0000 DANIEL RAGINS 1524 N NORTH PK CHICAGO, IL 60610

17-04-201-056-0000 DAN TRACY DOUGHERTY 1532 N NORTH PARK AVE CHICAGO, IL 60610

17-04-202-001-0000 MRR 227 W NORTH LLC 55 E JACKSON #500 CHICAGO, IL 60604

17-04-202-008-0000 JAMES R HARRIS 1533 N NORTH PARK AVE CHICAGO, IL 60610

17-04-202-011-0000 M D THOMPSON 1525 N NORTH PARK AVE CHICAGO, IL 60610 17-04-201-005-0000 ORLEANS INV GRP LLC 1320 N WOOD ST CHICAGO, IL 60622

17-04-201-010-0000 301 W NORTH AVE LP 1525 W HOMER ST #401 CHICAGO, IL 60642

17-04-201-013-0000 1540 NORTH PARK LLC 440 W RANDOLPH ST#500 CHICAGO, IL 60606

17-04-201-051-0000 RENU SANDEEP KULKARNI 1518 N NORTH PARK AV CHICAGO, IL 60610

17-04-201-054-0000 JACQUELINE CAPLAN 1526 N NORTHPARK CHICAGO, IL 60610

17-04-201-065-1001 JILL LEVINE KOHLBERG 1538 N NORTH PARK #1 CHICAGO, IL 60610

17-04-202-006-0000 GARRETT PERL 1330 N LASALLE ST #303 CHICAGO, IL 60610

17-04-202-009-0000 MOMOKO OSTEINER 990 N LAKESHORE 33C CHICAGO, IL 60611

17-04-202-012-0000 M D THOMPSON 1523 N NORTH PARK AVE CHICAGO, IL 60610

17-04-202-013-0000 JIM AUSTIN 1521 N NORTH PARK AVE CHICAGO, IL 60610

17-04-202-038-0000 ANDREW W CARTER 1536 N WIELAND ST CHICAGO, IL 60610

17-04-202-041-0000 BLAUVELT MATTHEW L 1530 N WIELAND ST CHICAGO, IL 60610

17-04-202-079-0000 ACCOUNT NUMBER 0251 1541 N WELLS ST CHICAGO, IL 60610

17-04-202-098-1003 J ARBUCKLE 1542 N WIELAND 3 CHICAGO, IL 60610

17-04-202-099-1002 MARGARET K WILLIAMSON 1547 N NORTH PARK AVE1 CHICAGO, IL 60610

17-04-202-100-1003 THOMAS P O CONNOR 1545 N NORTH PARK#1N CHICAGO, IL 60610

17-04-202-100-1006 LAURA MILANI 3S 1541 N NORTH PARK AV CHICAGO, IL 60610 List May Change

17-04-202-035-0000 MRR 227 W NORTH LLC 55 E JACKSON #500 CHICAGO, IL 60604

17-04-202-039-0000 BRODY BASHKIN 1534 N WIELAND CHICAGO, IL 60610

17-04-202-044-0000 ACCOUNT NUMBER 0251 1541 N WELLS ST CICERO, IL 60610

17-04-202-098-1001 ROSE NGUYEN 37 ALTEZZA IRVINE, CA 92606

17-04-202-099-1003 HELEN HESTER JI 1547 N NORTH PARK AVE2 CHICAGO, IL 60610

17-04-202-100-1001 J TASSE K LEPPERT 1545 N NORTH PK AVE 3N CHICAGO, IL 60610

17-04-202-100-1004 ERIC CHU AND YEE C KOH 1806 HARVARD ROAD FLOSSMOOR, IL 60422 17-04-202-037-0000 MIKE CORDARO 501 N CLINTON ST 3402 CHICAGO, IL 60654

17-04-202-040-0000 INNA ELTERMAN 1532 N WIELAND CHICAGO, IL 60610

17-04-202-045-0000 ROBERT T WEIL 1520 N WIELAND CHICAGO, IL 60610

17-04-202-098-1002 SHYAM HATHI 1542 N WIELAND STREET CHICAGO, IL 60610

17-04-202-099-1001 BRIAN WEIMER 1547 N NORTH PARK AVEG CHICAGO, IL 60610

17-04-202-099-1004 ANDREW ROSSKAMM 1547 N NORTH PARK 3 CHICAGO, IL 60610

17-04-202-100-1002 TAYLOR LUNDBERG 1545 N NORTH PARK#2N CHICAGO, IL 60610

17-04-202-100-1005 MELANIE RADLER 1337 W ROSCOE CHICAGO, IL 60657

17-04-202-104-1001 RICHARD BENYA 1549 N NORTH PARK #1 CHICAGO, IL 60610

17-04-202-104-1002 AYMSLEY HUNT UPP DEB 1549 N NORTH PARK#2 CHICAGO, IL 60610

17-04-202-106-0000 MRR 227 W NORTH LLC 55 EAST JACKSON STE 50 CHICAGO, IL 60604

17-04-203-009-0000 D P OBRIEN MGT LLC 1552 N WELLS 2ND FL CHICAGO, IL 60610

17-04-203-012-0000 ACCOUNT NUMBER 0251 1541 N WELLS ST CHICAGO, IL 60610

17-04-203-091-0000 D P OBRIEN MGT LLC 1552 N WELLS 2ND FL CHICAGO, IL 60610

17-04-203-099-1001 TOBIN MITCHELL 1444 N CLEVELAND AVE CHICAGO, IL 60610

17-04-203-099-1004 M T FERGEN 219 W,NORTH AVE.APT4 CHICAGO, IL 60610

17-04-500-065-8002 OLD TOWN VILLAGE EAST 875 N MICHIGAN #3980 CHICAGO, IL 60611 List May Change

17-04-202-104-1003 BRADLEY GAETH 333 W NORTH AVE STE346 CHICAGO, IL 60610

17-04-203-007-0000 D P OBRIEN MGT LLC 1552 N WELLS 2ND FL CHICAGO, IL 60610

17-04-203-010-0000 LUCY EQUITIES LLC 1552 N WELLS ST #2R CHICAGO, IL 60610

17-04-203-013-0000 ACCOUNT NUMBER 0251 1541 N WELLS ST CHICAGO, IL 60610

17-04-203-092-0000 D P OBRIEN MGT LLC 1552 N WELLS 2ND FL CHICAGO, IL 60610

17-04-203-099-1002 PETER SHARON EXLEY 219 W NORTH AV #2 CHICAGO, IL 60610

17-04-203-153-0000 D P OBRIEN MGT LLC 1552 N WELLS 2ND FL CHICAGO, IL 60610

17-04-500-065-8002 OLD TOWN VILLAGE EAST 875 N MICHIGAN #3980 CHICAGO, IL 60611 17-04-202-105-0000 1550 N WIELAND CHICAGO 2211 N ELSTON AVE#302 CHICAGO, IL 60614

17-04-203-008-0000 D P OBRIEN MGT LLC 1552 N WELLS 2ND FL CHICAGO, 1L 60610

17-04-203-011-0000 LUCY EQUTIES LLC 1552 N WELLS ST #2R CHICAGO, IL 60610

17-04-203-086-0000 ACCOUNT NUMBER 0251 1541 N WELLS ST CHICAGO, IL 60610

17-04-203-097-0000 D P OBRIEN MGT LLC 1552 N WELLS 2ND FL CHICAGO, IL 60610

17-04-203-099-1003 GIUSEPPE SCIMECA 219 W NORTH AVE APT 3 CHICAGO, IL 60610

17-04-500-065-8001

CTA MERCHANDISE MART, ROOM 714 CHICAGO, IL 60654

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

301 W. North Avenue, L.P.

#### Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1.  $\checkmark$  the Applicant

OR

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:

lame.

3.  $\square$  a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

B. Business address of the Disclosing Party: 1525 W. Homer, Ste. 401, Chicago, Illinois 60642

C. Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ Email: \_\_\_\_

D. Name of contact person: Frank Martin Paris, Jr.

E. Federal Employer Identification No. (if you have one): 47-2429615

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

PD Application at 301-309 W. North Avenue/1546-1556 N. North Park Avenue

G. Which City agency or department is requesting this EDS? DPD, Law Dept.

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # \_\_\_\_\_\_ and Contract # \_\_\_\_\_\_

Ver.2018-1

#### SECTION II --- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par	ty:
Person	Limited liability company
Publicly registered business corporation	Limited liability partnership
Privately held business corporation	Joint venture
Sole proprietorship	Not-for-profit corporation
General partnership	(Is the not-for-profit corporation also a 501(c)(3))?
Limited partnership	Yes No
Trust	Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

Yes No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name 301 W. North, LLC; General Partner	Title

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Ver.2018-1

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
RECAP OPPORTUNITY F	UND, L.P.; 1290 Avenue of the Americas, 10th Floor, NY, NY	10104; 90%
301 W. North, LLC	1525 W. Homer, Ste. 401, Chicago, Illinois 60642	10%

### SECTION III --- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?

Does the Disclosing Party reasonably expect to provide any income or comper	nsation to an	y City
elected official during the 12-month period following the date of this EDS?	Yes	No No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected officia	l or, to the best of the Disclosing Party's knowledge after reasonable
inquiry, any City elected offi	cial's spouse or domestic partner, have a financial interest (as defined in
Chapter 2-156 of the Municip	bal Code of Chicago ("MCC")) in the Disclosing Party?
Yes	No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated	Business Address	Relationship to Disclosing Party (subcontractor, attorney,	Fees ( <u>indicate whether</u> paid or estimated.) <b>NOTE:</b>
to be retained)	2 (44) 055	lobbyist, etc.)	"hourly rate" or "t.b.d." is
			not an acceptable response.
to be retained )			•

Bernard I. Citron/Thompson Coburn LLP; 55 E. Monroe, 37th Fl., Chicago, Illinois 60603; Attorney; Estimated \$20,000

#### (Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### **SECTION V -- CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes 🖌 No

Yes

#### B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

# C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?



NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes 🗸 No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

 Name
 Business Address
 Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

# SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

**NOTE:** If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend. or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party	the Ap	plicant?
T Yes		No

Yes	
-----	--

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes
-----

 V	 
	] No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

	Yes
--	-----

Yes

No

| No

If you checked "No" to question (1) or (2) above, please provide an explanation:

# SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityofchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information submitted in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

301 W. North Avenue, L.P.

(Print or type exact legal name of Disclosing Party)

By: (Sign here)

F. Martin Paris

(Print or type name of person signing)

President of MK Manager Corp., General Partner of 301 W. North, LLC

(Print or type title of person signing)

<u>4</u>,20/9. Signed and sworn to before me on (date) at County. (state). otary Public Commission expires: JOHN C PRATHER Official Seal Notary Public - State of Illinois My Commission Expires Jun 6, 2021

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

# This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?



**N**o

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes 🖌 No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No

 $\checkmark$  The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

# PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

No

 $\sqrt{N/A - I}$  am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

MK Manager Corp.

# Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. The Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:

OR

3. ✓ a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: 301 W. North, LLC General Partner

B. Business address of the Disclosing Party: 1525 W. Home

1525 W. Homer, Ste. 401, Chicago, Illinois 60642

C. Telephone: \_\_\_\_\_\_ Fax: \_\_\_\_\_ Email: \_\_\_\_\_

D. Name of contact person: Frank Martin Paris, Jr.

E. Federal Employer Identification No. (if you have one): \_\_\_\_\_\_

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

PD Application at 301-309 W. North Avenue/1546-1556 N. North Park Avenue

G. Which City agency or department is requesting this EDS? DPD, Law Dept.

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # \_\_\_\_\_\_ and Contract # \_\_\_\_\_

# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par	rty:
Person	Limited liability company
Publicly registered business corporation	Limited liability partnership
✓ Privately held business corporation	Joint venture
Sole proprietorship	Not-for-profit corporation
General partnership	( $\overline{\text{Is}}$ the not-for-profit corporation also a 501(c)(3))?
Limited partnership	🗌 Yes 🔄 No
Trust	Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Illinois

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

 Yes
 ☐ No
 ✓ Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name F. Martin Paris		Title President		

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name None	Business Address	Percentage Interest in the Applicant

# SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City	electe	ed official	during the
12-month period preceding the date of this EDS?		Yes	<b>N</b> o

Does the Disclosing Party reasonably expect to provide any income or compet	nsation to	any City
elected official during the 12-month period following the date of this EDS?	Yes	V No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in
Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?
Yes No
If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

partner(s) and describe the financial interest(s).

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### SECTION V -- CERTIFICATIONS

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes 🖌 No

#### B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

# C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

# D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes

No No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

# SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

**NOTE:** If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15 of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party	the Applicant	?
Yes	🗌 No	

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) es

Y

54	(266	41	CrKr
		Γ	No [

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

	Yes
--	-----

Reports	not	required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes
-----

]No

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

# SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityofchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information submitted in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

MK Manager Corp.

(Print or type exact legal name of Disclosing Party)

By: \_ (Sign here)

F. Martin Paris

(Print or type name of person signing)

President

(Print or type title of person signing)

Signed and sworn to before me on (date) 2017. nois (state). County, at

/Notary Public

Commission expires:

٩	
9	JOHN C PRATHER
4	Official Seal
4	Notary Public - State of Illinois
4	My Commission Expires Jun 6, 2021
4	

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

# This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

**√**No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes 🖌 No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

□ No

 $\checkmark$  The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

# **PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION**

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

] Yes

🗌 No

 $\sqrt{N/A}$  – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

301 W. North Avenue Lots, LLC

# Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. The Applicant Owner of 1546-48 N. North Park Avenue

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on
the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
"Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
name:

OR

3. 🗌	a legal ent	ity with a di	rect or ind	irect right c	of control	of the .	Applicant (	[see Section	II(B)(1))
State the le	egal name o	of the entity	in which t	he Disclosi	ng Party	holds a	right of co	ontrol:	

B. Business address of the Disclosing Party: 1525 W. Homer, Ste. 401, Chicago, Illinois 60642

C. Telephone: \_\_\_\_\_\_ Fax: \_\_\_\_\_ Email: \_\_\_\_\_

D. Name of contact person: Frank Martin Paris, Jr.

E. Federal Employer Identification No. (if you have one):

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

PD Application at 301-309 W. North Avenue/1546-1556 N. North Park Avenue

G. Which City agency or department is requesting this EDS? DPD, Law Dept.

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # \_\_\_\_\_\_ and Contract # \_\_\_\_\_

# SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Pa	rty:
Person	✓ Limited liability company
Publicly registered business corporation	Limited liability partnership
Privately held business corporation	Joint venture
Sole proprietorship	Not-for-profit corporation
General partnership	(Is the not-for-profit corporation also a $501(c)(3)$ )?
Limited partnership	🗌 Yes 🛛 No
Trust	Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) **for not-for-profit corporations**, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) **for trusts, estates or other similar entities**, the trustee, executor, administrator, or similarly situated party; (iv) **for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures**, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name	Title Manager	
1 W. North Avenue L.P.	Manager	

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Business Address	Percentage Interest in the Applicant
301 W. North Avenue, L.P.	1525 W. Homer, Ste. 401, Chicago, Illinois 60642	2 100%

# SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?

Does the Disclosing Party reasonably expect to provide any income or comper	isation to an	ıy City
elected official during the 12-month period following the date of this EDS?	Yes	No 🗸

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable	
inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in	L
Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?	
Yes V No	

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

# SECTION V -- CERTIFICATIONS

# A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes  $\sqrt{No}$  No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes 🖌 No

# B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

# C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes



NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

🗌 Yes 🚺 No

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

 Name
 Business Address
 Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

# SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

**NOTE:** If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15 of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party	the App	plicant?
Yes		No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

0110.	(200	• •	~	~~	1
		Γ	[]	No	

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

	Yes
--	-----

Repor	ts not	required
-------	--------	----------

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

$\Box$	Yes
--------	-----

No No

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

# SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityofchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

301 W North Avenue Lots, LLC (Print or type exact legal name of Disclosing Party) By: (Sign here)

F. Martin Paris

(Print or type name of person signing)

President of MK Manager Corp., Manager of 301 W. North, LLC

(Print or type title of person signing)

2*019\_*, Signed and sworn to before me on (date) County 🖉 (state). at Notary Public Commission expires:

JOHN C PRATHER Official Seal Notary Public - State of Illinois My Commission Expires Jun 6, 2021

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

# This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

**N**o

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes	🖌 No
-----	------

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes
-----

 $\checkmark$  The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

# PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

] Yes

No

 $\sqrt{N/A}$  – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

# SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

301 W. North, LLC

# Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: 301 W. North Avenue L.P.

B. Business address of the Disclosing Party: 1525 V

1525 W. Homer, Ste. 401, Chicago, Illinois 60642

C. Telephone: \_\_\_\_\_\_ Fax: \_\_\_\_\_ Email: \_\_\_\_\_

D. Name of contact person: Frank Martin Paris, Jr.

E. Federal Employer Identification No. (if you have one): 47-2429615

F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

PD Application at 301-309 W. North Avenue/1546-1556 N. North Park Avenue

G. Which City agency or department is requesting this EDS? DPD, Law Dept.

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # \_\_\_\_\_\_ and Contract # \_\_\_\_\_

#### SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

# A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Par	ty:
Person	Limited liability company
Publicly registered business corporation	Limited liability partnership
Privately held business corporation	Joint venture
Sole proprietorship	Not-for-profit corporation
General partnership	(Is the not-for-profit corporation also a $501(c)(3)$ )?
Limited partnership	Yes No
Trust	Other (please specify)

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

Yes I No Organized in Illinois

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name MK Manager Corp.	Title Manager	

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name None	Business Address	Percentage Interest in the Applicant

# SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?

Does the Disclosing Party reasonably expect to provide any income or compen	nsation to	any City
elected official during the 12-month period following the date of this EDS?	Yes	🖌 No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in
Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?
Yes No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

# SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

(Add sheets if necessary)

Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### SECTION V -- CERTIFICATIONS

# A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

No No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes 🗸 No

Yes

#### B. FURTHER CERTIFICATIONS

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).

5. Certifications (5), (6) and (7) concern:

• the Disclosing Party;

• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;

b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

# C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes

No No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

|**√**]No

]Yes

3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name **Business** Address Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

# E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

 $\boxed{\checkmark}$  1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

# SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

**NOTE:** If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew. amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

# B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the A	Applicant?
Yes	No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) No

Yes
-----

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

☐ Yes
-------

Reports	not	required
---------	-----	----------

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

	Yes
--	-----

]No

No

If you checked "No" to question (1) or (2) above, please provide an explanation:

# SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at <u>www.cityofchicago.org/Ethics</u>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

# CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

301 W. North, LLC (Print or type exact legal name of Disclosing Party) By: (Sign here) F. Martin Paris (Print or type name of person signing) President of MK Manager Corp., its Manager (Print or type title of person signing) 4,201J. Signed and sworn to before me on (date) 200 1 (state). at County. otary Public Commission expires: JOHN C PRATHER Official Seal Notary Public - State of Illineis My Commission Expires Jun 6, 2021

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

# This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?



No No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes Vo

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

Yes

No	
----	--

 $\checkmark$  The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

# **PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION**

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (<u>www.amlegal.com</u>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

Yes

□ No

 $\sqrt{N/A}$  – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.